

Trafalgar Capital Management (UK) LLP
RTS 28 disclosure: year ending 31 December 2018

Transferable securities

1. Intermonte SIM: 33.3%
<https://www.intermonte.it/en-gb/Legal-Information/MiFid-II>
2. Deutsche Bank AG: 12.58%
<https://www.db.com/company/en/media/2-deutsche-bank-cib-order-execution-policy--cash-equities.pdf>
3. Danske Bank A/S: 10.56%
<https://danskebank.com/-/media/danske-bank-com/file-cloud/2017/12/order-execution-policy---financial-instruments---uk-and-irl.pdf>
4. Mirabaud Securities Limited: 9%
https://www.mirabaud.com/fileadmin/user_upload_group/publications/Documents_legaux/Brokerage/MSL_Order_Execution_Policy_2018.pdf
5. Nordea Bank AB: 5.3%
https://www.nordea.com/Images/37-48023/NIM_AB_Execution_Policy_2015.pdf

Options / futures / swaps / derivative contracts

1. Berenberg, Joh. Berenberg, Gossler & Co. KG: 10.4%
<https://www.berenberg.de/files/Investment%20Banking/Best%20Execution%20Policy%202017-09.pdf>
2. Liberum Capital Limited: 7.1%
<http://www.liberum.com/media/278521/execution-policy.pdf>
3. ODDO BHF SCA: 6.8%
<http://www.oddobhf.com/#!/DocumentationMIFID/en>
4. KBC Securities: 6.6%
https://www.kbcsecurities.com/kbcs-media/documents/BEX_Policy_Professional%20Clients_v24042017.pdf
5. Nordea Bank AB: 6.4%
https://www.nordea.com/Images/37-48023/NIM_AB_Execution_Policy_2015.pdf